

Section 5.3: Diagonalization

1. Let  $A = \begin{bmatrix} 1 & 3 & 3 \\ -3 & -5 & -3 \\ 3 & 3 & 1 \end{bmatrix}$ .

(a) Determine the eigenvalues of  $A$ .

(b) Find a basis for each eigenspace.

(c) Form matrix  $P$  from the bases vectors you found in the previous question. Determine  $P^{-1}AP$ .  
What do you notice about  $P^{-1}AP$ ?

What happened in the previous question is very special, that is, the matrix  $A$  is **similar** to a diagonal matrix. We will see that this quality, being similar to a diagonal matrix, is related to the number of linearly independent eigenvectors the matrix  $A$  has.

**Definition:** An  $n \times n$  matrix  $A$  is **diagonalizable** if  $A = PDP^{-1}$  for some diagonal matrix  $D$  and invertible matrix  $P$ .

Thus, the matrix  $A$  in Question 1 is **diagonalizable**.

2. Let  $A = \begin{bmatrix} 2 & 4 & 3 \\ -4 & -6 & -3 \\ 3 & 3 & 1 \end{bmatrix}$ .

(a) Determine the eigenvalues of  $A$ .

(b) Find a basis for each eigenspace.

(c) Is the matrix  $A$  diagonalizable? Why or why not?

3. Let  $A$  be an  $n \times n$  matrix. Fill in blanks:

(a)  $A$  is diagonalizable if and only if  $A$  has \_\_\_\_ linearly \_\_\_\_\_ \_\_\_\_\_.

(b)  $A = PDP^{-1}$  for some diagonal matrix  $D$  and invertible matrix  $P$  if and only if the columns of  $P$  are \_\_\_\_ linearly \_\_\_\_\_ \_\_\_\_\_ for  $A$  and the diagonal entries of  $D$  are the corresponding \_\_\_\_\_.

4. Suppose  $A = PDP^{-1}$  where  $A = \begin{bmatrix} 2 & 2 & 1 \\ 1 & 3 & 1 \\ 1 & 2 & 2 \end{bmatrix}$ ,  $P = \begin{bmatrix} 1 & 1 & 2 \\ 1 & 0 & -1 \\ 1 & -1 & 0 \end{bmatrix}$ , and  $D = \begin{bmatrix} 5 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$ . What are the eigenvalues of  $A$ ? Determine the dimension of each corresponding eigenspace.

5. Compute  $A^8$  where  $A = \begin{bmatrix} 4 & -3 \\ 2 & -1 \end{bmatrix}$ . (**Hint:** There is an easy way to do this and a hard way.)

6. Let  $A$  be a  $4 \times 4$  matrix with eigenvalues 5, 3 and  $-2$ , and suppose you know that the eigenspace for  $\lambda = 3$  has dimension 2. Is  $A$  diagonalizable? Why or why not?

7. Let  $A$  be an  $n \times n$  matrix with eigenvalues  $\lambda_1, \lambda_2, \dots, \lambda_k$  and corresponding eigenspaces  $E_1, E_2, \dots, E_k$  (i.e.,  $E_i = \text{Null}(A - \lambda_i I)$ ). Fill in blanks:
- $A$  is diagonalizable if and only if  $\dim E_1 + \dim E_2 + \dots + \dim E_k = \underline{\hspace{2cm}}$ .
  - $A$  is diagonalizable if and only if  $\dim E_i$  equals the  $\underline{\hspace{2cm}}$  of  $\lambda_i$  for each  $i = 1, 2, \dots, k$ .
  - Suppose  $\mathcal{B}_i$  is a basis for  $E_i$  for each  $i = 1, 2, \dots, k$ . Then  $A$  is diagonalizable if and only if  $\mathcal{B}_1 \cup \mathcal{B}_2 \cup \dots \cup \mathcal{B}_k$  (the total collection of the vectors in the sets  $\mathcal{B}_1, \mathcal{B}_2, \dots, \mathcal{B}_k$ ) forms a basis for  $\underline{\hspace{2cm}}$ .
8. Let  $A$  be an  $n \times n$  matrix. Answer each of the following **TRUE** or **FALSE** and justify your answer:
- If  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k$  are eigenvectors of  $A$  corresponding to **distinct** eigenvalues of  $A$ , then  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k\}$  is linearly independent.
  - If  $A$  has  $n$  distinct eigenvalues, then  $A$  is diagonalizable.
  - If  $A$  is diagonalizable, then  $A$  has  $n$  distinct eigenvalues.
9. A  $5 \times 5$  matrix has eigenvalue  $-3$  with multiplicity 4 and eigenvalue 7 with multiplicity 1.
- Under what conditions is the matrix diagonalizable? Explain.
  - Under what conditions is the matrix not diagonalizable? Explain.
10. Let  $A$  be a  $4 \times 4$  matrix with eigenvalues 2 and 7. Let  $E_1$  be the eigenspace for eigenvalue 2 and let  $E_2$  be the eigenspace for eigenvalue 7. In each question below, write the characteristic polynomial of  $A$ , or explain why there is insufficient information to determine the characteristic polynomial.
- $\dim E_1 = 3$
  - $\dim E_2 = 2$
  - $A$  is diagonalizable and  $\dim E_2 = 2$ .